# Problem #1

Given a set of *n*, 1-bit numbers, the *max* of those *n* numbers is equal to 0b1 if and only if **any** of those numbers are 0b1. In contrast, the *min* of the *n* 1-bit numbers is 0b1 if and only if **all** *n* numbers are 0b1. Hence, for *n* 1-bit numbers, the *max* and *min* can be written as:

|  |  |  |
| --- | --- | --- |
|  |  | ( 1 ) |

where is the *i*th 1-bit number in the set of *n* numbers.

As an extension of the equation ( 1 ) above, consider the case of a set of *k*-bit numbers (where ). Since any integer is composed of a series of bits, determining the minimum and maximum of the *n* numbers can be done at the bit level. The most significant bit (MSB) of the max/min is calculated using equation ( 1 ); this is because MSB of the maximum/minimum only depends on the MSBs of the set of *n* numbers. For all subsequent bits, *j* (where *j* is a bit index defined as ), extra caution must be shown because it is not enough to only look at the *j*thbit of the *n* numbers since a number, , is only relevant to a min/max operation for bit *j* if all of its previous, more significant bits (i.e. and greater than 0) are equivalent to the corresponding bits in the min/max number.

**Example: Determine the maximum of a set of three, two-digit numbers { 0b00, 0b10, 0b01 }[[1]](#footnote-1)**

To determine the MSB of the maximum, you consider the most significant bit of all three words in the set, which are {0b0, 0b1, 0b0} respectively. Hence, the most significant bit (MSB) of the maximum (i.e. OR) of three numbers is 0b1. When determining the value of the second bit (i.e. least significant in this case) for the maximum, one can only consider those numbers who preceding bits are equal (same) to the previous, more significant bits in *max*. To check for equivalence, use the operator, , which is defined as:

|  |  |
| --- | --- |
|  | ( 2 ) |

In simpler terms, both bits must be 0b0 or both bits must be 0b1. If one did not check equivalence and used the equation ( 1 ) as is, the *max* would erroneously be: 0b11 (with the MSB coming from word 0b10 and the LSB coming from word 0b01).

When one combines the requirement of checking preceding bit equivalence with equation ( 1 ), the complete Boolean expression to find the *j*th bit of the minimum and maximum of a set of *n* numbers is:

|  |  |  |
| --- | --- | --- |
|  |  | ( 3 ) |

where is *j*thbit of the *i*th number in the set of *n* numbers.

Minimax is a logical extension of equation ( 3 ). Each node in the tree has three children. Depending on whether the node is in a *max* or *min* level, it applies the corresponding equation from ( 3 ) on its children to determine the respective value of each of its *k*-bits. For this problem, *k* (i.e. bits per word) is 3 since the numbers are 3-bits in length, and size of each set of numbers, *n*, is 3 (i.e. the number of children). The following are the bit equations for each of the values in the 4 ply minimax as defined in equation ( 3 ):

**Max – Level 0 (Root of the Tree)**

|  |  |
| --- | --- |
|  | ( 4 ) |
|  | ( 5 ) |
|  | ( 6 ) |

**Min – Level 1**

|  |  |
| --- | --- |
|  | ( 7 ) |
|  | ( 8 ) |
|  | ( 9 ) |

**Max – Level 2 (Root of the Tree)**

|  |  |
| --- | --- |
|  | ( 10 ) |
|  | ( 11 ) |
|  | ( 12 ) |

**Min – Level 3**

|  |  |
| --- | --- |
|  | ( 13 ) |
|  | ( 14 ) |
|  | ( 15 ) |

Equations ( 4 ), , , and (i.e. the equations for the most significant bits of each level’s word) are already in CNF. Equations ( 8 ) and ( 14 ) can be converted to CNF through equations to .

|  |  |
| --- | --- |
|  | ( 16 ) |
|  | ( 17 ) |
|  | ( 18 ) |
|  | ( 19 ) |
|  | ( 20 ) |
|  |  |
|  |  |
|  |  |

can be modified to use only symbols by doing successive substitution using the equations above. This can be done either by hand, which has limited scalability or though automation. I chose to automate this process by writing a program to do the substitution and then convert to CNF. The program is written in Python (HW3\_Q1\_Sympy\_Solver.py) and uses the Python SymPy library. SymPy is open source and can be downloaded from <https://pypi.python.org/pypi/sympy>. Included with this homework is a text file “**HW3\_Q1\_Results.txt**” which is the output of my SymPy program. It contains both in non-CNF form as well as in CNF form; these Boolean expressions are in terms of the base proposition symbols (i.e. ) as required. Most of the outputs of the equations in both CNF and non-CNF formats are too long to reasonably appear in this document. Kindly refer to that as a reference.

contains the CNF for . This is given as an example of the tool’s output. “Not“ operations are represented with a exclamation point (“!”); “Or” operations are denoted with a plus sign (“+”) while “And“ operations are denoted with an ampersand (“&”). The canonical form for as shown in is:

# Problem #2

**Question: The pigeonhole principle says any function from pigeons into holes must result in two pigeons in the same hole. Let be a variable expressing that pigeon gets mapped to hole . Consider the case.**

**Express the following as propositional formulas:**

1. **Every gets mapped to some .**
2. **Some  is mapped to by  and  where .**

**The conjunction of these two statements is a propositional formula for . Convert  to clausal form and give a resolution refutation for this statement. Finally, trace the execution of DPLL on this formula.**

**Part A:**

Each pigeon can be in one hole and only one hole. As such, for a given pigeon, there is a disjunction of conjunctions (i.e. OR of ANDs). Each conjunction explicitly limits the pigeon to a single hole. The subsequent derivation in equation ( 21 ) converts the equation in CNF.

|  |  |
| --- | --- |
|  | ( 21 ) |

Part B:

|  |  |
| --- | --- |
|  | ( 22 ) |

:

|  |  |
| --- | --- |
|  | ( 23 ) |

:

|  |  |
| --- | --- |
|  | ( 24 ) |

When equation ( 24 ) is combined with the resolved equations in ( 21 ) and ( 22 ), you get in CNF.

|  |  |
| --- | --- |
|  | ( 25 ) |

**Resolution Refutation:**

|  |  |
| --- | --- |
| From : | ( 26 ) |
| From : | ( 27 ) |
| From and : | ( 28 ) |
| From and : | ( 29 ) |
|  | ( 30 ) |
|  | ( 31 ) |
|  | ( 32 ) |

Appendix A – in Conjunctive Normal Form

**Note:** The CNF equations for problem #1 were solved using my program “HW3\_Q1\_Sumpy\_Solver.py”. I included the in CNF since it is length is manageable as an example of the tool’s output.

(V1,1,1,1,1+V1,1,2,1,1+V1,1,3,1,1)

&(V1,1,1,1,1+V1,1,2,1,1+V1,1,3,2,1)

&(V1,1,1,1,1+V1,1,2,1,1+V1,1,3,3,1)

&(V1,1,1,1,1+V1,1,2,2,1+V1,1,3,1,1)

&(V1,1,1,1,1+V1,1,2,2,1+V1,1,3,2,1)

&(V1,1,1,1,1+V1,1,2,2,1+V1,1,3,3,1)

&(V1,1,1,1,1+V1,1,2,3,1+V1,1,3,1,1)

&(V1,1,1,1,1+V1,1,2,3,1+V1,1,3,2,1)

&(V1,1,1,1,1+V1,1,2,3,1+V1,1,3,3,1)

&(V1,1,1,2,1+V1,1,2,1,1+V1,1,3,1,1)

&(V1,1,1,2,1+V1,1,2,1,1+V1,1,3,2,1)

&(V1,1,1,2,1+V1,1,2,1,1+V1,1,3,3,1)

&(V1,1,1,2,1+V1,1,2,2,1+V1,1,3,1,1)

&(V1,1,1,2,1+V1,1,2,2,1+V1,1,3,2,1)

&(V1,1,1,2,1+V1,1,2,2,1+V1,1,3,3,1)

&(V1,1,1,2,1+V1,1,2,3,1+V1,1,3,1,1)

&(V1,1,1,2,1+V1,1,2,3,1+V1,1,3,2,1)

&(V1,1,1,2,1+V1,1,2,3,1+V1,1,3,3,1)

&(V1,1,1,3,1+V1,1,2,1,1+V1,1,3,1,1)

&(V1,1,1,3,1+V1,1,2,1,1+V1,1,3,2,1)

&(V1,1,1,3,1+V1,1,2,1,1+V1,1,3,3,1)

&(V1,1,1,3,1+V1,1,2,2,1+V1,1,3,1,1)

&(V1,1,1,3,1+V1,1,2,2,1+V1,1,3,2,1)

&(V1,1,1,3,1+V1,1,2,2,1+V1,1,3,3,1)

&(V1,1,1,3,1+V1,1,2,3,1+V1,1,3,1,1)

&(V1,1,1,3,1+V1,1,2,3,1+V1,1,3,2,1)

&(V1,1,1,3,1+V1,1,2,3,1+V1,1,3,3,1)

&(V1,2,1,1,1+V1,2,2,1,1+V1,2,3,1,1)

&(V1,2,1,1,1+V1,2,2,1,1+V1,2,3,2,1)

&(V1,2,1,1,1+V1,2,2,1,1+V1,2,3,3,1)

&(V1,2,1,1,1+V1,2,2,2,1+V1,2,3,1,1)

&(V1,2,1,1,1+V1,2,2,2,1+V1,2,3,2,1)

&(V1,2,1,1,1+V1,2,2,2,1+V1,2,3,3,1)

&(V1,2,1,1,1+V1,2,2,3,1+V1,2,3,1,1)

&(V1,2,1,1,1+V1,2,2,3,1+V1,2,3,2,1)

&(V1,2,1,1,1+V1,2,2,3,1+V1,2,3,3,1)

&(V1,2,1,2,1+V1,2,2,1,1+V1,2,3,1,1)

&(V1,2,1,2,1+V1,2,2,1,1+V1,2,3,2,1)

&(V1,2,1,2,1+V1,2,2,1,1+V1,2,3,3,1)

&(V1,2,1,2,1+V1,2,2,2,1+V1,2,3,1,1)

&(V1,2,1,2,1+V1,2,2,2,1+V1,2,3,2,1)

&(V1,2,1,2,1+V1,2,2,2,1+V1,2,3,3,1)

&(V1,2,1,2,1+V1,2,2,3,1+V1,2,3,1,1)

&(V1,2,1,2,1+V1,2,2,3,1+V1,2,3,2,1)

&(V1,2,1,2,1+V1,2,2,3,1+V1,2,3,3,1)

&(V1,2,1,3,1+V1,2,2,1,1+V1,2,3,1,1)

&(V1,2,1,3,1+V1,2,2,1,1+V1,2,3,2,1)

&(V1,2,1,3,1+V1,2,2,1,1+V1,2,3,3,1)

&(V1,2,1,3,1+V1,2,2,2,1+V1,2,3,1,1)

&(V1,2,1,3,1+V1,2,2,2,1+V1,2,3,2,1)

&(V1,2,1,3,1+V1,2,2,2,1+V1,2,3,3,1)

&(V1,2,1,3,1+V1,2,2,3,1+V1,2,3,1,1)

&(V1,2,1,3,1+V1,2,2,3,1+V1,2,3,2,1)

&(V1,2,1,3,1+V1,2,2,3,1+V1,2,3,3,1)

&(V1,3,1,1,1+V1,3,2,1,1+V1,3,3,1,1)

&(V1,3,1,1,1+V1,3,2,1,1+V1,3,3,2,1)

&(V1,3,1,1,1+V1,3,2,1,1+V1,3,3,3,1)

&(V1,3,1,1,1+V1,3,2,2,1+V1,3,3,1,1)

&(V1,3,1,1,1+V1,3,2,2,1+V1,3,3,2,1)

&(V1,3,1,1,1+V1,3,2,2,1+V1,3,3,3,1)

&(V1,3,1,1,1+V1,3,2,3,1+V1,3,3,1,1)

&(V1,3,1,1,1+V1,3,2,3,1+V1,3,3,2,1)

&(V1,3,1,1,1+V1,3,2,3,1+V1,3,3,3,1)

&(V1,3,1,2,1+V1,3,2,1,1+V1,3,3,1,1)

&(V1,3,1,2,1+V1,3,2,1,1+V1,3,3,2,1)

&(V1,3,1,2,1+V1,3,2,1,1+V1,3,3,3,1)

&(V1,3,1,2,1+V1,3,2,2,1+V1,3,3,1,1)

&(V1,3,1,2,1+V1,3,2,2,1+V1,3,3,2,1)

&(V1,3,1,2,1+V1,3,2,2,1+V1,3,3,3,1)

&(V1,3,1,2,1+V1,3,2,3,1+V1,3,3,1,1)

&(V1,3,1,2,1+V1,3,2,3,1+V1,3,3,2,1)

&(V1,3,1,2,1+V1,3,2,3,1+V1,3,3,3,1)

&(V1,3,1,3,1+V1,3,2,1,1+V1,3,3,1,1)

&(V1,3,1,3,1+V1,3,2,1,1+V1,3,3,2,1)

&(V1,3,1,3,1+V1,3,2,1,1+V1,3,3,3,1)

&(V1,3,1,3,1+V1,3,2,2,1+V1,3,3,1,1)

&(V1,3,1,3,1+V1,3,2,2,1+V1,3,3,2,1)

&(V1,3,1,3,1+V1,3,2,2,1+V1,3,3,3,1)

&(V1,3,1,3,1+V1,3,2,3,1+V1,3,3,1,1)

&(V1,3,1,3,1+V1,3,2,3,1+V1,3,3,2,1)

&(V1,3,1,3,1+V1,3,2,3,1+V1,3,3,3,1)

Appendix B – in Conjunctive Normal Form

(!P11+!P21+!P31+!P41+P12+P13+P22+P23+P32+P33+P42+P43)

&(!P11+!P21+!P31+!P42+P12+P13+P22+P23+P32+P33+P41+P43)

&(!P11+!P21+!P31+!P43+P12+P13+P22+P23+P32+P33+P41+P42)

&(!P11+!P21+!P32+!P41+P12+P13+P22+P23+P31+P33+P42+P43)

&(!P11+!P21+!P32+!P42+P12+P13+P22+P23+P31+P33+P41+P43)

&(!P11+!P21+!P32+!P43+P12+P13+P22+P23+P31+P33+P41+P42)

&(!P11+!P21+!P33+!P41+P12+P13+P22+P23+P31+P32+P42+P43)

&(!P11+!P21+!P33+!P42+P12+P13+P22+P23+P31+P32+P41+P43)

&(!P11+!P21+!P33+!P43+P12+P13+P22+P23+P31+P32+P41+P42)

&(!P11+!P22+!P31+!P41+P12+P13+P21+P23+P32+P33+P42+P43)

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&(!P12+!P22+!P33+!P43+P11+P13+P21+P23+P31+P32+P41+P42)

&(!P12+!P23+!P31+!P41+P11+P13+P21+P22+P32+P33+P42+P43)

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&(!P12+!P23+!P32+!P43+P11+P13+P21+P22+P31+P33+P41+P42)

&(!P12+!P23+!P33+!P41+P11+P13+P21+P22+P31+P32+P42+P43)

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&(!P13+!P21+!P31+!P43+P11+P12+P22+P23+P32+P33+P41+P42)

&(!P13+!P21+!P32+!P41+P11+P12+P22+P23+P31+P33+P42+P43)

&(!P13+!P21+!P32+!P42+P11+P12+P22+P23+P31+P33+P41+P43)

&(!P13+!P21+!P32+!P43+P11+P12+P22+P23+P31+P33+P41+P42)

&(!P13+!P21+!P33+!P41+P11+P12+P22+P23+P31+P32+P42+P43)

&(!P13+!P21+!P33+!P42+P11+P12+P22+P23+P31+P32+P41+P43)

&(!P13+!P21+!P33+!P43+P11+P12+P22+P23+P31+P32+P41+P42)

&(!P13+!P22+!P31+!P41+P11+P12+P21+P23+P32+P33+P42+P43)

&(!P13+!P22+!P31+!P42+P11+P12+P21+P23+P32+P33+P41+P43)

&(!P13+!P22+!P31+!P43+P11+P12+P21+P23+P32+P33+P41+P42)

&(!P13+!P22+!P32+!P41+P11+P12+P21+P23+P31+P33+P42+P43)

&(!P13+!P22+!P32+!P42+P11+P12+P21+P23+P31+P33+P41+P43)

&(!P13+!P22+!P32+!P43+P11+P12+P21+P23+P31+P33+P41+P42)

&(!P13+!P22+!P33+!P41+P11+P12+P21+P23+P31+P32+P42+P43)

&(!P13+!P22+!P33+!P42+P11+P12+P21+P23+P31+P32+P41+P43)

&(!P13+!P22+!P33+!P43+P11+P12+P21+P23+P31+P32+P41+P42)

&(!P13+!P23+!P31+!P41+P11+P12+P21+P22+P32+P33+P42+P43)

&(!P13+!P23+!P31+!P42+P11+P12+P21+P22+P32+P33+P41+P43)

&(!P13+!P23+!P31+!P43+P11+P12+P21+P22+P32+P33+P41+P42)

&(!P13+!P23+!P32+!P41+P11+P12+P21+P22+P31+P33+P42+P43)

&(!P13+!P23+!P32+!P42+P11+P12+P21+P22+P31+P33+P41+P43)

&(!P13+!P23+!P32+!P43+P11+P12+P21+P22+P31+P33+P41+P42)

&(!P13+!P23+!P33+!P41+P11+P12+P21+P22+P31+P32+P42+P43)

&(!P13+!P23+!P33+!P42+P11+P12+P21+P22+P31+P32+P41+P43)

&(!P13+!P23+!P33+!P43+P11+P12+P21+P22+P31+P32+P41+P42)

1. The case of *min* is logical extension of the *max* example and is not shown. However, its equation is provided in ( ). [↑](#footnote-ref-1)